

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):

Robert Daniel Maher, III et al.

Case No.: NR-8

Serial No.:

09/832,670

**Group Art Unit:** 

2154

Filing Date:

April 11, 2001

Title:

**POLICY GATEWAY** 

COMMISSIONER FOR PATENTS
ALEXANDRIA, VA 22313-1450

SIR:

### **CERTIFICATE OF MAILING**

I hereby certify that the foregoing items are being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to:

Commissioner of Patents

MAILSTOP AMENDMENT

Alexandria, VA 22313-1450

- 1. Transmittal letter (3pp)
- 2. This Mailing Certificate and (1pp);
- 3. Our postcard receipt

Date Mailed: September 24, 2004

Carrie Wrich, Legal Secretary



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



**Patent Application** 

**Inventor:** 

Robert Daniel Maher, et al.

Case:

NR-8

Serial No.:

09/832,670

**Group Art Unit:** 

2154

Filed:

April 11, 2001

Examiner:

Patel, A

Title:

**POLICY GATEWAY** 

COMMISSIONER FOR PATENTS ALEXANDRIA, VA 22313

SIR:

#### **AMENDMENT**

In response to the Office Action of July 15, 2004, Applicant would request reconsideration in view of the following remarks:

#### **REMARKS**

Applicant respectfully appreciates the indication of the allowable subject matter noted by the Examiner, but would respectfully request reconsideration and reexamination of the rejected subject matter in view of the following remarks:

## Claims 1,3-5, and 7-9 are rejected under 35 U.S.C. §102(e), as being anticipated by Lin (U.S. 6,542,508).

Lin describes an apparatus for providing a way to bind policy decisions made using a separate policy application to the appropriate flow in a network. The policy engine 106 provides packets to the policy application 102. (col. 3, lines 1-4) The policy application then uses its policy database 202 to determine the actions to be applied to that flow based on its policy determinations. (col. 4, lines 55-63) Those actions are then sent to the policy engine and stored in a policy cache 209 to be applied to the remaining packets in that flow. (col. 4, lines 63-65) Lin explicitly states that the flow classification, or determining of actions to apply to a flow based on policies, is not appropriate for hardware and must be done by a policy application with its policy database residing on a separate server from the policy engine. (col. 2, lines 1-10, and col.

Netrake Corporation 3000 Technology Drive Suite 100 Plano, Texas 75074 (214) 291-1051